

06-27-01

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Express Mail No. EL128287584US

Docket No. 22674-7021

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Larry Ragle  
 Serial No.: New Application  
 Filing Date: Herewith  
 Title: **INTEGRATED COLOR LED CHIP**

1011 U.S. PTO  
 09/891972

**UTILITY PATENT APPLICATION & FEE TRANSMITTAL**  
*(for nonprovisional applications under 37 CFR § 1.53(b))*

Commissioner for Patents  
**BOX PATENT APPLICATION**  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

<b>I. Elements of the Application</b>	
<input checked="" type="checkbox"/> Application	[1] page(s) of Cover Sheet [15] page(s) of Specification [6] page(s) of Claims (40 claims) [1] page(s) of Abstract [3] sheets of Drawings [ ] Formal [ X ] Informal
<input checked="" type="checkbox"/> Declaration and Power of Attorney	[3] page(s) [ X ] Unexecuted [ ] Executed [ ] Copy from prior application (37CFR § 1.63(d))
<input type="checkbox"/> Deletion of Inventors:	[ ] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
<input type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)	
<input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission:	[ ] page(s) of Sequence Listing (paper copy) [ ] disk(s) containing Sequence Listing (computer readable copy) [ ] page(s) of Statement Under 37 CFR 1.821(f)
<input type="checkbox"/> Microfiche Computer Program Appendix	
<b>II. Claim for U.S. Priority</b>	
<input type="checkbox"/> This application is a	<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part of Prior Application No. _____ filed _____. (35 USC § 120)
<input checked="" type="checkbox"/> This application claims the benefit under 35 U.S.C. § 119(e) of United States Application Serial No. <b>60/214,505</b> filed <b>June 28, 2000</b> . (Provisional Application)	
<input type="checkbox"/> The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.	
<b>III. Claim for Foreign Priority</b>	
<input type="checkbox"/> This application claims the benefit under 35 USC § 119 of Prior Application No. _____ filed _____.	
<input type="checkbox"/> Certified Copy of Priority Document	[ ] page(s)
<input type="checkbox"/> English Translation of Priority Document	[ ] page(s)

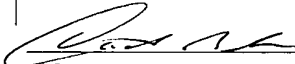
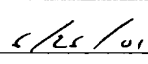
**IV. Accompanying Documents**

- ☐ Assignment Papers [ ] page(s) of Recordation Cover Sheet [ ] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [ ] Verified Small Entity Statement was previously filed in Prior Application No. \_\_\_\_\_ on \_\_\_\_\_.
- ☐ Information Disclosure Statement [ ] page(s) of PTO-1449 [ ] copies of IDS References
- ☐ A Preliminary Amendment [ ] page(s)
- ☐ A copy of a Petition for Extension of Time ( \_ mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ Other \_\_\_\_\_ (specify)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

**V. Fee Calculation**

<input type="checkbox"/> The following fees are submitted:					CALCULATIONS
			OTHER THAN SMALL ENTITY	SMALL ENTITY	\$
<b>BASIC NATIONAL FILING FEE</b>			\$ 710.00	\$ 355.00	\$355.00
<b>EXTRA CLAIMS FEE</b>					
<b>CLAIMS</b>	<b># FILED</b>	<b># EXTRA</b>	<b>RATE</b>	<b>RATE</b>	
Total Claims	40	20	× \$18.00	× \$ 9.00	\$180.00
Independent claims	6	3	× \$80.00	× \$40.00	\$120.00
<b>MULTIPLE DEPENDENT CLAIM(S)</b>					
<input type="checkbox"/> Yes <input type="checkbox"/> No			\$270.00	\$135.00	\$0.00
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
_____ (Numbers of Applications) × \$40.00					\$0.00
<b>OTHER FEES</b> _____ (specify)					\$0.00
<b>OTHER FEES</b> _____ (specify)					\$0.00
<b>TOTAL FEES =</b>					<b>\$655.00</b>

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.:50-1193, Docket No. 22674-7021, in the amount of \$655.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193, Docket No. 22674-7021. *A duplicate copy of this sheet is enclosed*


  
 SIGNATURE DATE  
 David G. Beck 37,776  
 NAME REGISTRATION NUMBER

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10**

I, Pam Pascual, hereby certify that this paper and all enclosures are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on June 26, 2001. Express Mail Label EL128287584US, and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

Pam Pascual  
Signature of Person Mailing